

(On the letterhead in case of a non-individual Investor)

<Date>

AIG Global Asset Management Company (India) Pvt. Ltd.

<Address >

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Sub: Lien Invocation / Pledge of \_\_\_\_\_ units of \_\_\_\_\_ Scheme/Plan,  
Folio no. \_\_\_\_\_

Dear Sir,

This is to inform you that I / we would like to pledge / mark lien on the units with the financier / lender (name), as security for repayment of the loan and payment of interest thereon. I / We hereby request you to mark a lien on the units in favor of the Financier / Lender (Name).

I / We therefore waive all my / our rights and privileges in favor of the Lender including my / our right for request of redemption / transfer / transmission of the Units. You may not redeem / transfer / transmit the units without the prior written permission of the Financier / Lender (Name).

The above lien shall be irrevocable until the said load and the payment of interest thereon due to the Financier / Lender (Name) is repaid in full and the Financier / Lender (Name) issues a letter discharging the lien.

Yours Sincerely,

\_\_\_\_\_

First Holder

\_\_\_\_\_

Second Holder

\_\_\_\_\_

Third Holder

Please refer to the next page for the list of documents required for Lien.

## Lien Documents List

Sr. No.	Investor Type	Documents Required
1.	Individual	<p>From Investor:</p> <ul style="list-style-type: none"> <li>Duly signed Lien-marking request letter as per the investor's mode of holding</li> </ul> <p>From Financier:</p> <ul style="list-style-type: none"> <li>Duly signed Lien Authorization request letter from Financier with signatories as per Authorized Signatory List (ASL)</li> <li>ASL of Financier in letterhead duly certified by authorized signatory</li> </ul>
2.	Individual with Power of Attorney (PoA)	<p>From Investor:</p> <ul style="list-style-type: none"> <li>Duly signed Lien-marking request letter by PoA</li> <li>Notarized copy of PoA agreement duly signed by investor</li> </ul> <p>From Financier:</p> <ul style="list-style-type: none"> <li>Duly signed Lien Authorization request letter from Financier (with signatories as per ASL)</li> <li>ASL of Financier in letterhead duly certified by authorized signatory</li> </ul>
3.	Private / Public Limited Company	<p>From Investor:</p> <ul style="list-style-type: none"> <li>Duly signed Lien-marking request letter by Investor(s) / PoA as per the ASL</li> <li>Board Resolution &amp; ASL duly certified by Company Secretary or Director who is not an authority for marking Lien</li> <li>In case of PoA, notarized copy of PoA agreement duly signed by authorized signatories in the Folio</li> </ul> <p>From Financier:</p> <ul style="list-style-type: none"> <li>Duly signed Lien Authorization request letter from Financier (with signatories as per ASL)</li> <li>ASL of Financier in letterhead duly certified by authorized signatory</li> </ul>
4.	Partnership Firm	<p>From Investor:</p> <ul style="list-style-type: none"> <li>Duly signed Lien-marking request letter by Investor as per the ASL in Partnership Deed</li> <li>Certified copy ASL and Partnership Deed</li> </ul> <p>From Financier:</p> <ul style="list-style-type: none"> <li>Duly signed Lien Authorization request letter from Financier (with signatories as per ASL)</li> <li>ASL of Financier in letterhead duly certified by authorized signatory</li> </ul>