

**Annexure - IV:**

**Individual Affidavits by the Legal Heirs**

**(In case of Transmission of Mutual Fund Units held by a Single Holder / on death of all unit holders in case of Joint Holding and where there is no nominee registration)**

I <sup>(\*)</sup> \_\_\_\_\_ ” son / daughter / spouse of \_\_\_\_\_  
 \_\_\_\_\_ residing at \_\_\_\_\_

do hereby solemnly affirm and sincerely state on oath as follows.

That Mr. / Mrs. . <sup>(#)</sup> \_\_\_\_\_ ” the deceased was holding the following mutual fund units in his /her name as single holder/joint holders:

Sl. No.	Name of Mutual Fund	Scheme Name	Folio No	No. of Units Hold

That the deceased had died intestate on \_\_\_\_\_ for which we are attaching a Death Certificate.

The following are the only legal heir(s) of late Mr. / Mrs. . <sup>(#)</sup> \_\_\_\_\_ ” for which we are attaching a Legal Heirship Certificate/ Succession Certificate.

Sl. No.	Name of the Legal Heirs	Address	Age	Relationship with the deceased
1				
2				
3				

That out of aforesaid legal heirs Master / Kumari. \_\_\_\_\_ Aged \_\_\_\_\_ years is a minor and he / she is being represented by Mr. / Ms. <sup>(§)</sup> \_\_\_\_\_ ” who is none other than his / her father / mother as natural guardian.

We also confirm that there is no other legal heir as stated above to the deceased, <sup>(#)</sup> \_\_\_\_\_ ”

We also indemnify the concerned mutual fund and its authorized Registrar and Transfer Agent in a separate indemnity letter with a third party sureties.

DEPONENT SIGNATURE: \_\_\_\_\_

**VERIFICATION**

We hereby solemnly affirm and state on oath that what is stated herein above is true and correct Original Death Certificate or original attested copy of the death certificate. and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mentioned mutual fund units of the deceased.

Solemnly affirmed at  
 Signed before me at \_\_\_\_\_ on \_\_\_\_\_

\_\_\_\_\_  
 Signature of Notary with Official Seal of Notary

**Note:** 1. Each deponent shall sign separate affidavits.

<sup>(\*)</sup> = **Name of the deceased unit holder** <sup>(#)</sup> = **Name of the legal heir** <sup>§</sup> = **Name of the guardian**